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APPENDIX A – DUTIES OF DISTRICT ELECTIVE AND APPOINTED OFFICERS

The Auxiliary Manual and appropriate Coast Guard directives provide for elective officers and their duties. In addition to those duties specified in the Auxiliary Manual, collateral or concurrent duties are assigned as follows:

A1. District Chief of Staff

The District Chief of Staff will be responsible for scheduling and conducting the regular meetings of the District Staff Officers.

A2. District Captains

In accordance with Article 4.4 of the District Standing Rules, the District Captains shall be assigned duties by the DCO as follows:

- a. Each District Captain shall represent and be responsible for three (3) Divisions in the District.
- b. Each District Captain shall be assigned one of the following program areas:
 - □ Response□ Prevention□ Support
- c. District Captains may be assigned specific responsibilities which include liaison between the District Board and these District Committees:
 - (1) Navigation Contests (i.e., Gavey Over the Bottom Contest, Fun Run, etc.)
 - (2) Boat shows
 - (3) Coast Guard Appreciation Day and/or Admirals Day
 - (4) Annual District Conference (D-TRAIN)
 - (5) National Safe Boating Week

A3. Immediate Past District Commodore

The District Immediate Past Commodore shall represent and be responsible for one (1) Division in the District. Should this not be possible, the DCO will assign one (1) Division to the DCOS to support.





A4. Appointed Officers

In addition to those duties set forth in the Auxiliary Manual, the District Board may assign collateral or concurrent duties as needed and may establish certain policies to be followed by staff officers in the selection, training or qualifying of personnel to implement the basic purposes of the Coast Guard Auxiliary in the Eleventh Coast Guard District Northern Region.





APPENDIX B - CONDUCT OF ELECTIONS

B1. Nominating Committee

The District Nominating Committee shall consist of the District Commodore, the District Director of Auxiliary and the Immediate Past District Commodore.





APPENDIX C – ASSUMPTION OF OFFICE

C1. Assumption of Office

The Auxiliary Manual provides for the assumption of office on the first day of January following election to office. This assumption of office is not affected by the ceremonial installation of officers.

C2. Ceremonial Installation of District Officers

Ceremonial installation of District elected and appointed officers, including Division Commanders and Division Vice Commanders, shall take place on a date and at the place fixed by the District Board.

C3. Installation of Division Staff Officers

Installation of Division Staff Officers shall take place at a Division installation ceremony, on a date and at a place approved by the District Commodore.

C4. Installation of Flotilla Officers

Flotilla elected and appointed officers may be installed at either, or both, Division and Flotilla installation ceremonies.

C5. Installation of Interim Officers

District, Division and Flotilla officers elected or appointed on an interim basis shall be installed immediately upon such election or appointment.





APPENDIX D - FINANCIAL POLICY

D1. Finance Officer

The District Finance Officer (DSO-FN) will be responsible for implementing the financial policies of the District Board and will, in addition to those duties outlined in the Auxiliary Manual, be guided by this Section in the conduct of duties.

D2. Depository for Funds

The DSO-FN shall deposit all District funds with the depository of the Eleventh District Northern Region Board and shall be responsible for the disbursement of funds and payments of obligations incurred for the purposes of this organization, as further set forth below.

1. Banking Institution

- a. The institution used as a depository for the District Board's funds may be selected at the discretion of the incumbent DSO-FN and shall be a recognized institution of deposit, insured by an instrumentality of the United States. The District Board shall approve such selection.
- b. Signatures of (1) District Finance Officer, (2) District Commodore, (3) District Chief of Staff, and (4) DSO-FN designee(s) (to be approved by the District Commodore), shall be filed with the Banking institution.
- c. All cash and checks received by the Finance Officer or designee(s) shall be deposited to the above account at the first available opportunity. To alleviate frequent trips to the banking institution, coin and currency may be accumulated up to fifty dollars (\$50.00) prior to depositing it.

2. Payment of Obligations

- a. The Finance Officer or designee(s) will normally sign all checks. The District Commodore is authorized to sign checks during the temporary absence of the Finance Officer when payment of obligations would be unduly delayed.
- Payment obligations incurred in carrying out the officially adopted policies of the
 District Board will be considered routine obligations and will be approved by the
 District Commodore or by the District Chief of Staff in the District
 Commodore's absence.





c. The District Chief of Staff when acting in the District Commodore's stead may, without prior Board approval, authorize payment of expenditures for the proper administration of any authorized function of the District Board not in excess of five hundred dollars (\$500.00) for any single activity or function.

3. Annual Budget

- a. The DSO-FN shall prepare an annual budget for approval by the District Board at the November meeting but no later than the January meeting.
- b. The District Board may determine the amount to be advanced from District funds for the conduct of budgeted functions when required. Committee chairpersons shall endeavor to finance all functions in such a manner as to anticipate replenishment of all funds advanced and to stay within budgetary limitations established for the function by the District Board.

4. Special Funds of Permanent Nature

Special funds of a permanent nature for specific purposes may be authorized by appropriate addition to these Appendices.

5. Assets of Division

In the event that a Division shall cease to exist or be dissolved for any reason, a prompt accounting shall be made to the DSO-FN of all assets including, but not limited to, funds, material, supplies or properties.

- a. Residual funds remaining after all just debts of the dissolved Division are satisfied shall become District funds and shall be entrusted to the DSO-FN.
- b. Residual material, supplies, or properties remaining after the just debts of the Division are satisfied shall become District property and shall be entrusted to the appropriate District Staff Officer.

6. Assets of Flotillas

In the event that a Flotilla shall cease to exist or be dissolved for any reason, a prompt accounting shall be made to the Division Finance Officer of all assets, whether funds, materials, supplies or properties.

- a. Residual funds remaining after all just debts of the dissolved Flotilla are satisfied shall become Division funds and shall be entrusted to the Division Finance Officer.
- b. Residual material, supplies, or properties remaining after all just debts of the Flotilla are satisfied shall become Division property and shall be entrusted to the appropriate Division Staff Officer.





D3. Dues

- 1. National dues shall be paid from District funds as routine obligations of the District Board.
- 2. The DSO-FN shall bill each Division for District dues of fifteen dollars (\$15.00), plus the National dues for each member based on the official enrollment record in the District Director's office as of 01 January of each year. Annual District and National dues shall be collected by the Division and paid to the District Finance Officer within 30 days of billing date. Dues shall be for the calendar year in advance. Payment shall be in a lump sum and in full for the amount billed regardless of the number of members on the Division rolls at the time of payment. District and National dues for new members enrolled after 01 January of each year shall be billed to the Division by the District Finance Officer on the pro-rated quarterly basis for the full quarters of the current calendar year remaining at the time of enrollment.
- 3. The District Board will not establish Division and/or Flotilla dues.
- 4. Past District Commodores shall be exempt from the payment of District dues. Such exemption shall not preclude such member from being considered in good standing, nor shall it limit such member from all privileges and rights afforded any other member in good standing, as set forth in the Manual. Appointed Past Commodores, while exempt from National dues, are not exempt from District dues.
- 5. All District and National dues billed by the DSO-FN are due and payable upon receipt. Any Division which fails to pay the dues more than 60 days after the date of a District billing to the Division shall have their District Board voting rights suspended until such delinquency is corrected.
- 6. If any Division or a Flotilla within that Division fails to submit the "Annual Financial Report of an Auxiliary Unit" to the DSO-FN within 10 days of the 01 March deadline, then that Division shall have their District Board voting rights suspended until such delinquency is corrected.

D4. Routine Obligations

- 1. The payment of bills and obligations incurred by the District Board for the following purposes, when covered in the annual budget, shall be considered routine obligations and will not require further action by the District Board for payment, provided such amount does not exceed the budgeted amount.
 - a. National dues
 - b. District Newsletter (NORTHWIND)
 - c. Functions authorized by the District Board for all members of the U.S. Coast Guard Auxiliary in the Eleventh Coast Guard District Northern Region will be considered routine obligations to the extent provided in the annual budget or by the District Board when authorizing the function. Such functions may include, but are not limited to:
 - 1. All purposes outlined in the Auxiliary Manual





- 2. Annual Navigational Exercises (Gavey Over-the Bottom Contest, Poker Fun Run, etc.)
- 3. Annual District Conference (D-TRAIN)
- d. District Board/Staff officers will be reimbursed for attendance at District Board/Staff meetings when not covered by the Coast Guard but authorized by the Board. Reimbursement will be made to said officers for:
 - 1. Mileage at the same amount that the Coast Guard pays District Board/Staff officers when they are under orders or file for local mileage.
 - 2. Cost of lodging at single room rates (receipt required) for District Board/Staff officers who attend District Board/Staff meetings that travel more than 50 miles from their residence to the place of the meeting.
 - 3. Bridge tolls.
- e. Flotilla Commanders (or Vice Commanders substituting for Flotilla Commanders) attending the Eleventh District Northern Region Elected Officer Training may be reimbursed if Coast Guard training funds are not available. All reimbursements will be paid for the same expenses and at the same amount that the Coast Guard pays the District Board when they are under orders. The District Board may establish restrictions on such allowable costs. Receipts for all expenses will be required.

D5. Materials and Supplies

1. Procurement:

- a. All Division and Flotillas within the Eleventh Coast Guard District Northern Region, as subordinate units to the District Board, must be guided by the established policies of the District Board in contracting for the supply or procurement of training materials, books and publications for resale to members, or the general public in Public Education classes.
- b. All items offered for sale in Public Education classes must be approved by the District Board as to item, purpose of use, necessity, sale price, and manner of acquisition.

2. Pricing Policies:

- a. The District Executive Committee will approve all items to be offered for sale in the District Materials Center.
- a. The District Board will establish resale prices to the membership for materials and supplies carried in the District Materials Center which will adequately compensate the District for freight or transportation, storage and handling, insurance, forwarding expenses and other costs such as obsolescence. All published prices shall indicate only the established resale price and will be kept





at a minimum to best serve our members.

- b. Orders or requisitions for materials from authorized Division and Flotilla purchasing agents (Division Commanders, Flotilla Commanders or appropriate staff officers) will be billed at resale price less discount, but not less than actual cost plus handling and transportation costs.
- c. Individual orders shall be filled at resale price whether ordered by District, Division or Flotilla officers, or members.





APPENDIX E – MEETINGS

E1. Schedule for Meetings

- 1. Regular meetings of the District Board will be held monthly on a schedule published in January of the current year, except when changed at a prior meeting of the District Board by majority vote or at the discretion of the District Commodore. The monthly District Board may meet and transact business in person or by webinar.
- 2. Special meetings may be called in accordance with the provisions of the Auxiliary Manual and the Standing Rules. Minutes of all District Board transactions shall be kept for all special meetings. Special meetings of the District Board may be called as open meetings or may be restricted to Board members only or such District Staff Officers as the Board may wish to have attend if approved by the Director of Auxiliary.
- 3. An annual District Conference (D-TRAIN) will be held at a time and place and for such duration as may be determined by vote of the District Board.
- 4. A meeting schedule for the ensuing year will be established by the District Board at the January meeting.

E2. Conduct of Business

1. A majority of the membership of the Eleventh District Northern Region Board shall constitute a quorum for the transaction of business. Business coming before the District Board shall be decided by a majority vote of those present and voting, except as otherwise provided in the Auxiliary Manual.

2. New Business

- a. All new business shall be submitted in writing through a member of the District Board to the District Executive Committee prior to its regular meeting to permit the Executive Committee to explore the matter submitted and, if needed, request staff officer reports. Items requiring Board action will be brought before the Board with the recommendations of the Executive Committee at the earliest possible date.
- b. The Executive Committee will meet to review those subjects which have been submitted for consideration together with those subjects within its knowledge, which should be brought before the District Board for action. Division





Commanders may be invited to attend the meetings of the Executive Committee and speak on such items as are pertinent to their Division's welfare or activities.

c. New Business, not on the agenda, may be brought up on the floor after all business enumerated on the agenda for the meeting has been completed, provided sufficient time remains before adjournment of the meeting.

3. District Policy on Division Meetings

- a. Division Boards shall hold a minimum of eight (8) meetings each year, with no more than a sixty (60) day interim period between meetings, and are encouraged to hold monthly meetings either in person or by webinar.
- b. Division Boards' schedules of meetings should be presented to the District Board at the January District meeting so that EXCOM and District Staff Officers may have due notice thereof and may be able to attend at least one (1) meeting in each Division.
- c. Division officers are encouraged to visit the meetings of other Division Boards and are encouraged to exchange visits at least once during the year.

4. District Policy on Flotilla Meetings

- a. Flotillas are encouraged to hold regular meetings each month.
- b. Division elected officers will attend a minimum of one (1) meeting of each Flotilla in their Division during the year. Division elected officers should endeavor to be present at Flotilla meetings with prior approval from the Flotilla Commander.
- c. Flotillas shall comply with the United States Coast Guard federal statutes, United States Coast Guard regulations and directives, and with the United States Coast Guard Auxiliary National Board Standing Rules and the United States Coast Guard Auxiliary Eleventh District Northern Region Standing Rules. Flotillas desiring by resolution to make such laws, regulations, directives, and standing rules more specific, shall submit such resolutions, after adoption by the Flotilla, to the District Staff Officer Legal, and the District Commodore for approval. Such Flotilla resolutions shall not become effective until such approval is given.

5. Speaking Privileges Before Meeting

Any member of the United States Coast Guard Auxiliary may attend the regular meeting of the District Board and may request permission to speak on any subject being considered.





APPENDIX F - COMMITTEES

F1. Executive Committee

- 1. The Executive Committee shall consist of the District Commodore, District Director of Auxiliary (DIRAUX), Immediate Past District Commodore, District Chief of Staff, and the District Captains.
 - a. The Executive Committee shall meet as specified by the District Commodore or the Director of Auxiliary to review those subjects which have been submitted for consideration together with such other matters that should be brought before the District Board for action. Non-members of the Executive Committee may attend its meetings by invitation only.
 - b. Any member of the United States Coast Guard Auxiliary submitting matters for consideration by the District Board may be invited to attend the Executive Committee meeting and discuss such matters.

F2. Standing Committees

Committee chairpersons will be appointed by the District Commodore in accordance with the Auxiliary Manual. Members of committees may be appointed by the District Commodore or by the committee chairpersons subject to approval by the District Commodore and the Director of Auxiliary when deemed desirable.

1. Standing Committees

In accordance with the Auxiliary Manual, the following are authorized as standing committees:

- a. Awards
- b. Annual Navigational Contest
- c. Standing Rules
- d. Annual District Conference (D-TRAIN)
- e. Nominating Committee





f. Audit Committee

F3. Duties of Standing Committees

Unless the duties of standing committees are specified in these standing rules, their duties will be promulgated by the District Commodore and the District Board from time to time as necessary.





APPENDIX G – AUXILIARY AWARDS PROGRAM

G1. Northern Region Awards Program.

- 1. To maintain equity in the District 11 North (D11N) awards program, thus making it possible for any member to earn an award, only those activities creditable to awards that are done in, or in direct support of, the Northern Region will be considered. Activity in support of national level programs will be excluded from consideration.
- 2. The annual D11N awards program recognizes accomplishments in all areas by individuals, Flotillas and Divisions.
- 3. To be considered for any of the awards listed in this Appendix, activities must be properly reported to the Division SO-IS or Flotilla FSO-IS in time to be reflected in the end-of-year AUXDATA or replacement system reports for the award year ending 31 December.
- 4. Judging will normally be done by the DSO or ADSO responsible for the area in which the award is given (per criteria for each award).
- 5. Requests to correct errors or omissions in determining award recipients must be received by the appropriate DSO no later than two months following the date of the awards banquet. No corrections will be made after that date.

G2. District 11 North Awards.

- 1. District Commander's Trophy. An award will be given to the outstanding Flotilla in the D11N. Criteria are as follows:
 - a. Rosters of unit officers must be submitted to DIRAUX not later than 20 December of the awards year.
 - b. Both the Flotilla Commander and the Vice Commander must be fully qualified in accordance with the then current Auxiliary Manual, without waiver, no later than 31 December prior to the awards year.
 - c. The Flotilla has the highest ratio of activity mission hours to number of members.
 - d. The winner will be determined by the District Commander and DIRAUX.
- 2. Director's Trophy. An award will be given to the outstanding Division. Criteria are as follows:
 - a. Rosters of unit officers must be submitted to DIRAUX not later than 20 December of the awards year.
 - b. Both the DCDR and VCDR are fully qualified in accordance with the then current Auxiliary Manual, without waiver, not later than 31 December prior to the awards year.





- c. The Division has the highest percentage of active members showing some activity during the award year as reported in AUXDATA.
- d. This award will be determined by DIRAUX.
- 3. Commodore's Trophy (Outstanding Auxiliarist). The Commodore's Trophy will be given to the member exemplifying the "Representative Auxiliarist" based on member accomplishments and activities during the award year.
 - a. The award will be given to the member with the most mission hours provided that there are hours recorded in all major programs [IT (including Aide), OP, VE, PA, etc.]
 - b. The winner will be determined by the DCO after receiving the statistics from the DSO-IS.
- 4. Commodore Joe West Award Most Improved Flotilla (Chief of Staff's Trophy), sponsored and donated by the late Commodore Joseph J. West. An award will be given to the Flotilla making the greatest improvement over the preceding year in the following categories: VE, OP, PE, MT, PV, MS, HR and PA. A Flotilla that is in first place in any category is not eligible.
 - a. The calculation is made by the DCOS and the DSO-IS.
 - b. Method of calculation:
 - (1) Compare the end-of-year report to the previous year's end-of-year report. If a Flotilla shows a gain in all of the stated programs, consider that Flotilla for the award.
 - (2) The DCOS will verify all calculations.
 - (a) Calculate the percent of increase of the latest year over the previous year.
 - (b) Rank each of the Flotillas in each category.
 - (c) Assign a point value to each place and calculate the number of points, thus ranking the Flotillas to obtain the most improved Flotilla.
 - 5. COMO GAIL RAMSEY ANNUAL OPERATIONS AWARD The COMO Gail Ramsey Operations Award (AOA) recognizes the most exemplary performance by a District 11 North Auxiliarist during the previous calendar year. Only those Auxiliarists who demonstrated sustained, exceptional standards and activities in surface operations shall be considered for nomination.
 - a. AOA nominees must meet the following criteria:
 - (1) Be currently certified in surface operations
 - (2) Demonstrated exemplary performance in Operations
 - (3) Performed operational duties in outstanding fashion and achieved results of high quality, and teamwork that resulted in improved efficiency and effectiveness of Auxiliary and/or Coast Guard unit(s).
 - (4) Dedicated to improved proficiency that positively impacted local training programs.





- b. The recipient of the AOA shall be determined based upon an analysis of both quantitative and qualitative information provided by the nominator.
 - (1) Qualitative information shall include a description of the reasons the individual is being recommended for the AOA.
 - (2) Quantitative data shall include yearend AUXDATA totals
- c. AOA nominations shall be submitted as follows:
 - (1) The District Commodore (DCO) shall solicit nominations during the month of December each year.
 - (2) Nomination packages shall be submitted to the DCO no later than 15 January.
 - Upon review of all nominations, the DCO, in consultation with the DCOS, DSO-OP, DIRAUX, and the OTO, shall select the recipient.
 - (4) The same individual cannot receive the award in consecutive years.
- d. The recipient of the AOA shall receive the following recognition:
 - (1) Award presentation at the District 11 North D-Train most nearly following the determination of the award recipient.
 - (2) AOA recipient's name shall be inscribed on a perpetual plaque on permanent display in the DIRAUX office.
 - (3) Issuance of a personalized keeper plaque.
- 6. Vessel Safety Checks (VSC) Awards
 - a. Vessel Safety Checks Individual Awards.

An award will be given for first, second and third place provided that at least 120 checks have been conducted during the award year for each place. The DSO-VE is not eligible for these awards. Additional awards will be given as follows:

- (1) Additional Awards: Awards will be given to members conducting 120 or more checks.
- (2) Certificates: Certificates will be given to members conducting 50 to 119 checks.
- (3) These awards will be determined by the DSO-VE from the end-of-year AUXDATA reports. The DSO-VE will also be responsible for printing and distributing the certificates.
- b. Paddlecraft VSC Awards.





An award will be given for the most VSCs for paddlecraft, provided that at least 500 checks have been conducted during the award year. Winners of first, second and third place awards for total VSCs, and the DSO-VE, are not eligible for the paddlecraft award, and the winner of the paddlecraft award is not eligible for the total VSC awards described in paragraph 6.a, above.

- c. Outstanding Flotilla in Vessel Safety Checks. The criteria for the Outstanding Flotilla in Vessel Safety Checks Award are as follows:
 - (1) Each vessel examiner in the Flotilla must perform the minimum annual requirement for VSCs and attend any workshops required for the award year.
 - (2) The Flotilla must have the highest number of vessel safety checks of all Flotillas considered.
 - (3) This award will be determined by the DSO-VE from the end-of-year AUXDATA reports.
- 7. Recreational Boating Safety Visitation Program (RBSVP) Awards
 - a. Recreational Boating Safety Visitation Program Individual Awards. An award will be given to the member with the most program visits during the previous year, provided at least 75 visits have been completed. In addition, second and third place awards will be given if at least 75 visits were made. The DSO-PV is not eligible for these awards.
 - (1) Additional Awards: Awards will be given to members conducting 75 or more visits.
 - (2) Certificates: Certificates will be given to members conducting 25 to 74 visits.
 - (3) These awards will be determined by the DSO-PV from the end-of-year AUXDATA reports. The DSO-PV will also be responsible for printing and distributing the certificates.
 - Outstanding Flotilla in Recreational Boating Safety Visitation
 Program Award. The criteria for the Outstanding Flotilla in Recreational Boating Safety Visitation Program Award are as follows:
 - (1) Each RBS Program Visitor in the Flotilla must perform the minimum annual requirement shown in the current Auxiliary Manual for program visits and attend any mandatory workshops.
 - (2) A Flotilla that lost any program visitors for not meeting minimum annual requirements will not be considered for the award.
 - (3) The Flotilla must have the highest average of reported visits per program visitor of all Flotillas considered.
 - (4) This award will be determined by the DSO-PV from the end-of-year AUXDATA reports.
- 8. Operations Awards.
 - a. General. All operations awards will be determined by the DSO-OP or designated OPS staff officers from the end-of-year AUXDATA or AUXINFO reports. Operations awards are based on the total operational hours reported in "underway" categories in AUXDATA and AUXINFO as of 31 December of the award year.





- b. Outstanding Flotilla in Operations Trophy. An award will be given to the outstanding Flotilla in operations. Criteria are as follows:
 - (1) The Flotilla must have at least one current operational facility (land, sea or air).
 - (2) All operational personnel in the Flotilla must have maintained the District performance standards and attended any mandatory workshops to maintain operational qualifications for the award year.
 - (3) The winning Flotilla will be the Flotilla with the greatest number of operational hours, under orders, divided by the number of members who hold at least one of the following operational qualifications: coxswain, boat crew, air observer, air crew, co-pilot, first pilot, aircraft commander, land mobile operator, or personal watercraft operator.
- c. Individual Overall Operations Award. This award recognizes the member who performs in multiple operational capacities. The DSO-OP is not eligible to receive this award. To be considered for this award, a member must perform a minimum of 120 total hours in operations as delineated below, with a minimum of 40 hours in at least two of the three operational categories. The award will be given to the member with the most combined hours in the three operational designations:
 - (1) Crew, coxswain, personal watercraft operator
 - (2) Co-pilot, first pilot, aircraft commander, air observer, air crew
 - (3) Land mobile operator
- d. Vessel Operations.
 - (1) Vessel coxswains. A first place award will be given to the coxswain having the most vessel coxswain hours (excluding PWC operator hours). Second and third place awards will also be given if the coxswain hours exceed 100.
 - (a) Certificates. Certificates will be given to each vessel coxswain having 50 to 99 hours as vessel coxswain.
 - (2) Vessel crew. An award will be given to the crew person having the most vessel crew hours. Second and third place awards will also be given if the crew hours exceed 100.
 - (a) Certificates. Certificates will be given to each vessel crew person having 50 to 99 hours as vessel crew.
 - (3) Personal Watercraft (PWC) Operator. An award will be given to the PWC operator having the most PWC operator hours, provided the hours exceed 50. Second and third place awards will also be given if the PWC operator hours exceed 50.
 - (a) Certificates. Certificates will be given to each PWC operator person having 25 to 49 hours as PWC operator.





e. Air Operations.

- (1) Aircraft Pilots. An award will be given to the pilot having the most aircraft patrol hours as Pilot-in-Command. Second and third place awards will also be given if the Pilot-in-Command hours exceed 50.
 - (a) Additional Awards. An award will be given to each pilot having 50 or more hours as Pilot-in-Command.
 - (b) Certificates. Certificates will be given to each pilot having 25 to 49 hours as Pilot-in-Command.
- (2) Air Observer or Air Crew.
 - (a) Award. An award will be given to the member having the most hours as air observer or air crew. Hours for this award are exclusive of hours performed as a pilot.
 - (b) Certificates. A certificate will be given to each air observer or crew having 25 or more hours of air observer or crew time.
- (3) Air Operations Award. This award will be given to the member with the most in-air flight time patrol hours; i.e., the total of lead and non-lead airborne hours. Air Operations staff officers are not eligible for this award.
- (4) These awards will be determined by the DSO-AV and the ADSO-AVs. The DSO-AV will also be responsible for printing and distributing the certificates.

9. Communications.

- a. Land Mobile Operations.
 - (1) Communicators-in-Command. A perpetual trophy and keeper award will be awarded to the land mobile Communicator-in-Command having the most land mobile Communicator-in-Command hours for both scheduled and call-out patrols, without any criteria as to the minimum number of required hours. The hours are exclusive of any Fixed Land or Coast Guard Base Station radio watch hours. Second and third place plaques will be awarded if the Communicator-in-Command hours exceed 110. The DSP-CM is not eligible to receive these awards.
 - (2) Call-outs. Land Mobile SAR call-outs are a vital link in SAR communications and reporting. Recognition of this award is determined as follows:
 - A first place plaque will be awarded to the Land Mobile having the Most Call-Outs.
 - b. A second plaque will be awarded to the Land Mobile having the Most Call-Out Hours without any criteria as to the minimum number of required hours.
 - c. If the same person earns both awards, that person will be awarded the





plaque for Most Call-Out Hours and the Most Call-Outs plaque will go to the second place finisher.

- b. Fixed Land/Coast Guard Base Station Radio Watch-standers.
 - (1) A first place plaque will be awarded to the Radio Watch-stander having the most radio watch hours at Fixed Land/Coast Guard Base Stations without any criteria for a minimum number of required hours. The hours are exclusive of any Operational Patrol or Land Mobile hours.
 - (2) Second and third place plaques will be awarded if the radio watch hours exceed 110.
- c. Most Communicator Hours (Land Mobile Scheduled/SAR Call-Out Patrols, Fixed Land and CG Watchstanding).
 - (1) A first place plaque will be given to the Communicator having the most combined hours recorded for Land Mobile Scheduled/SAR Call-Out Patrols, Fixed Land and Coast Guard Base Stations without any criteria as to a minimum number of required hours.
 - (2) Second and third place plaques will be awarded if the communication hours exceed 110. Additional plaques will be awarded to each communicator with more than 110 combined communications hours.
 - (3) Certificates. Certificates will be awarded to each communicator having 50 to 109 combined communications hours.
- d. These awards will be determined by the DSO-CM and the ADSO-CML from the end-of-year AUXDATA reports.
- 10. Instructor Awards. An award will be given to the instructor with the greatest combined total of PE and MT instructor hours. The DSO-PE and the DSO-MT are not eligible for this award. Second and third place awards will be given if combined PE and MT instructor hours exceed 75.
 - a. Additional Awards. Awards will be given to instructors having more than 75 combined PE and MT instructor hours.
 - b. Certificates. Certificates will be given to
 - (1) Instructors having 10 to 74 combined PE and MT instructor hours.
 - (2) Instructor Aides who have 25 hours or more will also receive a certificate.
 - (3) Instructors with less than 10 combined PE and MT instructor hours but whose total PE and MT instructor and instructor aide hours equal or exceed 25 hours will receive a certificate.
 - c. These awards will be determined by the DSO-PE and DSO-MT from end-of-year AUXDATA reports. The DSO-PE and the DSO-MT will also be responsible for printing and distributing the certificates.





- 11. Training Aids Awards. An award may be given for a training aid in each of the five categories listed below. Only training aids displayed at the District Training Conference are eligible for awards.
 - a. Categories.
 - (1) Type I: This category requires electronic or electrical circuitry, construction details, a materials list and instructions for use.
 - (2) Type II: This category does not require electronic or electrical circuitry but does require construction details, a materials list and instructions for use.
 - (3) Type III: This category does not require circuitry, construction details, or instructions for use. The construction and use must be self-evident.
 - (4) Type IV: This category can be any of the above types where total cost exceeds \$100.00.
 - (5) Type V: This category is for training presentations, such as PowerPoint or webinar.
 - b. Scoring. Training aids will be evaluated on a point scale ranging from a minimum of one to a maximum of ten points for each category listed below:
 - (1) Plans (type I, II, and IV only). The entry must have detailed plans or photographs and a materials list.
 - (2) Operational instructions (types I, II, and IV only). Brief, concise, operating instructions must accompany the training aid.
 - (3) Special knowledge. The aid must be usable by the average instructor.
 - (4) Construction (types I and II only). The aid must be constructed of materials available to the average flotilla at a cost not to exceed \$100.00. A cost and materials list must accompany contest aids. The aid must be designed for construction by the average home shop craftsman with tools available to the average flotilla membership.
 - (5) Portability. Aids must be portable, self-protected, or have a protective container for the purpose of transporting.
 - (6) Appearance. Quality of craftsmanship in construction of the aid must be apparent.
 - (7) Purpose. This is the degree to which the aid contributes to a specific area of instruction. The training aid must be used for instruction in some phase of one of the following subjects:
 - (a) Administration.
 - (b) Communications.
 - (c) Vessel Safety Checks.
 - (d) Instruction.
 - (e) Navigation.
 - (f) Patrols.
 - (g) Seamanship & Rules of the Road.





- (h) Search and Rescue.
- (i) Weather.
- (8) Originality. The aid must be novel and its concept original.
- c. Restrictions. Aids manufactured commercially may not be submitted for these awards.
- d. Judging. Judging will be done by EXCOM and the DSO-PE of the awards year or their designees. Judges may decide that entries of a certain category or categories do not meet their criteria and may elect not to select a winning entry.
- e. Transportation and custody. The transportation and custody of entries is the responsibility of the member submitting the entry. All entries must be sent to the site of the District Training Conference, set up and ready for judging at the time and place designated.
- f. Awards. If the awards criteria are met, judges will select a winning training aid in each of the five categories. They will then select the best of these and award the Flotilla submitting this training aid the "Best-of-Show" perpetual trophy with keeper award. The winning training aids in the other categories will each receive an award. In addition, there will be a separate award given to the Flotilla that displays the largest number of training aids at the District Training Conference.

12. Public Education Award.

- a. Outstanding Flotilla in Public Education Trophy. The criteria for the Outstanding Flotilla in Public Education Trophy are as follows:
 - (1) The Flotilla must have reported at least 52 hours of public education classes during the award year.
 - (2) The Flotilla must have the highest score of all Flotillas considered. This score is the total of PE graduate points divided by the Flotilla's active membership* at the end of the award year. Graduate points are the sum of the following:
 - a. Number of graduates from classes lasting less than eight hours.
 - b. Number of graduates from all other classes times 10. (The factor of 'times 10' is used to approximate the classroom hours for these graduates compared with graduates of other classes).
 - * "Active membership" is defined as a member who is current in dues, completed mandatory training, and is current in at least one qualification.
- b. This award will be determined by the DSO-PE from the end-of-year AUXDATA reports.

13. Member Training Award.

- a. Outstanding Flotilla in Member Training Trophy. The criteria for the Member Training Award are as follows:
 - (1) The Flotilla must have the highest total points computed by dividing the combined points listed below by the number of members in the Flotilla. The membership count will be based on AUXDATA Unit Summary Data Reports reflecting membership at the start of





the award year.

- (2) Points are awarded as follows:
 - (a) Each new qualification (IT, VE, RBSPV, CFVE, BCQP, AIR OPS) equals 10 points.
 - (b) Each completed Specialty Course equals 10 points.
 - (c) Each completed Flotilla Leadership Course equals 10 points.
 - (d) Each new APC equals 10 points.
 - (e) Each new AUXOP equals 10 points.
 - (f) Each new member equals 10 points. (Note: Excludes AP members as they are counted when they become either an IQ or BQ member).
 - (g) Each hour of MT equals 1 point.
- b. This award will be determined by the DSO-MT from end-of-year AUXDATA reports.
- 14. Human Resources Award.
 - a. Outstanding Flotilla in Human Resources Trophy. The criteria for the Human Resources Award are as follows:
 - (1) The Flotilla must show a minimum 10% net increase in membership, not including transfers, during the award year. Deaths will not be counted against the total.
 - (2) The Flotilla must have the highest total net gain in membership of all Flotillas.
 - b. This award will be determined by the DSO-HR from the end-of-year AUXDATA reports.
- 15. Public Affairs Award.
 - a. Outstanding Flotilla in Public Affairs Trophy. The criteria for the Public Affairs Award are as follows:
 - (1) During the awards year, the Flotilla must have reported PA activity in at least six of the following eleven areas:
 - (a) Collateral Development (10A)
 - (b) Speeches/Talks (10B)
 - (c) Broadcast Media (10C)
 - (d) Web Maintenance (10D)
 - (e) USCG PA Augmentation (10E)





- (f) Print Media (10F)
- (g) PA Training (10G)
- (h) New Media (10H)
- (i) ComRel (10J)
- (j) Other PA Projects (10K)
- (k) Publications/Member Communications (10L)
- (2) The award will be given to the eligible Flotilla that has the highest total missions computed by dividing the total number of PA units reported in the above eleven areas by the total members in the Flotilla at the start of the awards year.
- b. This award will be determined by the DSO-PA from the end-of-year AUXDATA reports.
- 16. Publication and Photography Awards.
 - a. Outstanding Flotilla Publication Award. An award will be given to the Flotilla that has the most outstanding Flotilla publication. Publications will be judged at the District Training Conference by three members of EXCOM as appointed by the DCO. Criteria are as follows:
 - (1) The publication must be published at least four times annually.
 - (2) Each publication will be reviewed for content, such as announcements of Flotilla, Division, and District activities, recognition of member achievements and awards, member training items, etc.
 - (3) Flotilla commanders desiring to have their publication considered are responsible for seeing that all issues published during the awards year are on display at the District Training Conference.
 - (4) This award will be judged on the basis of content and regularity, not on the method of printing, type of paper used, or number of pictures.
 - (5) The winner of this award will be the only official District Eleven Northern Region entry in the national competition for Best Flotilla Publication.
 - b. Outstanding Division Publication Award. An award will be given to the Division that has the most outstanding Division publication. Publications will be judged at the District Training Conference by three members of EXCOM as appointed by the DCO. Criteria are the same as for the Outstanding Flotilla Publication Award as listed above.
 - c. Outstanding Auxiliary Photograph Award. An award will be given to the member submitting the outstanding photograph of an Auxiliary activity used in the "Northwind" during the awards year. Judging will be done by three members of EXCOM as appointed by the DCO.





- 17. District Historian's Award. An award will be given to the Flotilla with the most outstanding history album on display at the District Training Conference. Judging will be conducted by two members appointed by the DCO.
 - a. Definition. History albums are defined as the Flotilla history kept in an album by the Flotilla. Press releases can also be included.
 - b. Criteria. The following criteria will be used:
 - (1) Inclusion of a listing of Flotilla officers and staff.
 - (2) Inclusion of topics covering all four Auxiliary cornerstones.
 - (3) Originality, neatness, and an overall smart appearance.
 - (4) Inclusion of any press releases, including those in the "Northwind"
 - (5) Provision of who, what, when, where, why and how labels.
 - (6) Inclusion of any special Flotilla activities.
- 18. Aids to Navigation and Chart Updating Awards.
 - a. General. Aids to Navigation and Chart Updating awards are based on the total credit points awarded by NOAA-National Ocean Service/U.S. Coast Guard and entered by the DSO-NS into AUXDATA. The credit points are awarded for reports submitted in Aids to Navigation Verification/Discrepancy, Bridge Lighting and Fender Surveys, Nautical and Aeronautical Chart Updating and other recommended additions, deletions and revisions to USCG and NOS publications.
 - b. Aids to Navigation and Chart Updating Individual Awards. An award will be given for first, second and third place provided that at least 200 credit points have been accumulated. Additional awards will be given as follows:
 - (1) Additional Awards. Awards will be given to members accumulating 150 credit points or more.
 - (2) Certificates. Certificates will be given to members accumulating 50 to 149 credit points.
 - c. Flotilla Chart Updating Award. An award will be given to the flotilla with the highest total credit points in the Aids to Navigation and Chart Updating programs as determined by a combination of AUXDATA chart updating data and NOAA reports dated 31 December of the awards year. The formula is: (NOAA Credits) + (ATON Points x 5) = total credit points.
 - d. These awards will be determined by the DSO-NS. The DSO-NS will also be responsible for printing and distributing the certificates.
- 19. Coast Guard Support Administrative and Operational
 - a. Administrative. An award will be given to the member having the most Coast Guard administrative support hours. Second and third place awards will also be given if a member's





hours exceed 150.

- (1) Additional Awards. Awards will be given to each member having 150 or more hours in Coast Guard administrative support.
- (2) Certificates. Certificates will be given to each member having 75 to 149 hours in Coast Guard administrative support.
- b. Operational. An award will be given to the member having the most Coast Guard operational support hours. Second and third place awards will be given if the member's hours exceed 150.
 - (1) Additional Awards. Awards will be given to each member having 150 or more hours in Coast Guard operational support.
 - (2) Certificates. Certificates will be given to each members having 75 to 149 hours in Coast Guard operational support.
- c. These awards will be determined by the DSO-IS and ADSO-IS from the end of year AUXDATA reports. The DSO-IS will also be responsible for printing and distributing the certificates. The DSO-IS and ADSO-IS are not eligible for these awards.
- 20. Marine Safety and Environmental Protection (MSEP) Awards.
 - a. Steve Mitchell Memorial Award Outstanding Flotilla in Marine Safety and Environmental Protection Trophy. The criteria for the Steve Mitchell Memorial Award are as follows:
 - (1) The Flotilla must have the highest number of combined hours in Marine Safety, Marine Environmental Protection, and Commercial Vessel Safety.
 - (2) This award will be determined by the DSO-MS from the end-of-year AUXDATA reports.
 - b. Marine Safety and Environmental Protection Individual Award. An award will be given to the member with the most hours in Marine Safety and Environmental Protection. The DSO-MS is not eligible for this award. Second and third place awards will be given if the hours exceed 110.
 - (1) Additional Awards. Awards will be given to each member having 110 or more hours.
 - (2) Certificates. Certificates will be given to each member having 50 to 109 hours.
 - (3) These awards will be determined by the DSO-MS from the end-of-year AUXDATA reports. The DSO-MS will also be responsible for printing and distributing the certificates.

21. Strip Plaques

- (1) Participation Strip Plaques. Strip plaques may be given in recognition of participation in various District activities as determined by the DCO. Strip plaques will be presented on the day of the event, or as soon thereafter as possible.
- (2) SAR Strip Plaques. These plaques are given to each Coxswain, Pilot, or Land Mobile Communicator maintaining their minimum patrol hours during the year. These awards will be determined by the ADSO and DSO for each respective specialty from the end-of-





year AUXDATA reports.

G3. Coast Guard and Auxiliary Awards.

- 1. General. There are several Coast Guard awards established solely for the Auxiliary.

 There are also several Coast Guard unit and team awards Auxiliary members are eligible to receive. See the Auxiliary Manual, Chapter 11 for a description of these awards.
- 2. Making a Recommendation. Who Can Recommend? For consideration of all listed awards (except the Auxiliary Distinguished Service Award and the Flotilla Meritorious Achievement), any Auxiliary member may make an Auxiliary award recommendation via the chain of leadership and management to the designated awarding authority. Military and CG civilian personnel may make Auxiliary award recommendations directly to the appropriate District Director.
 - a. National Commodore. National staff members may recommend to the National Commodore (NACO), via the chain of leadership and management, the consideration of a National staff member for a meritorious award. The NACO will review it and forward it to the Chief Director with either a favorable or unfavorable endorsement. The Chief Director will endorse likewise and forward to the CGHQ Auxiliary Board of Awards for appropriate final action.
 - b. Command Initiated Awards. All CG military and civilian personnel can initiate and/or approve meritorious awards for deserving Auxiliarists at the appropriate awarding authority levels. CG Senior Executive Services (SES) civilian personnel, serving in appropriate award authority positions, may take action or approve Auxiliary Awards in the same manner as comparable Flag Officers.
 - c. What to Recommend. The "Key Words" in the paragraphs describing the meritorious awards will help with the decision. If the act or service meets the requirements, the recommendation is appropriate. If they do not, either an award is not indicated or the wrong award is being considered.
 - c. Philosophy. Avoid inflation, only the truly deserving should receive recognition. To do otherwise dilutes the significance of the award for the deserving recipient and minimizes the value of these awards to the entire organization. Good performance and service should always be recognized, but the presentation of formal awards should be reserved for individuals who have truly distinguished themselves in their service.

G4. Procedures.

- a. Documentation. The following documentation is required to have an award recommendation considered. The recommending Auxiliary official shall submit the recommendation form and the supporting documents, through the chain of leadership and management, to the NACO or District Commodore (DCO), as appropriate, who will endorse the recommendation and send it to the applicable reviewing authority for consideration. CG military and civilian personnel will use the appropriate chain of command with appropriate copies to the District Director.
- b. Coast Guard Award Recommendation (Form CG-1650).





c. Summary of Action or Service. To make the recommendation for any of the above awards, a SUMMARY OF ACTION OR SERVICE must be prepared by the recommending official. This must be attached to the award recommendation, along with any documents (newspaper clippings, statements from those assisted, copies of CG station logs, letters, etc.) that help justify the recommendation.

This SUMMARY is the most important part of the recommendation. Approval or disapproval will be based on the justification presented. Avoid generalities and excessive use of superlatives. Include any of the following, if pertinent or available:

- (1) Specific examples.
- (2) Positive results.
- (3) Monetary savings.
- (4) Eyewitness statements.
- (5) Nature of action.
- (6) Date or inclusive dates.
- (7) Precise location.
- (8) Time of day.
- (9) Names of all involved.
- (10) Detailed weather conditions.
- (11) Amount of natural or artificial light.
- (12) Any other pertinent information.
- d. Citation. The citation is the account of heroic acts or of meritorious achievement of services. It is essentially a condensation of the SUMMARY OF ACTION OR SERVICE. Recipients of awards are entitled to well-prepared citations; citations that will be cherished by the member and be a source of pride to their families. The citation will be prepared by DIRAUX.

The Summary of Action is not required for the Award of Operational Merit, Award of Administrative Merit, and the Award of Merit. The Summary of Action for the Auxiliary Meritorious Service Award should be limited to one page.

- e. Approval. The DCO will, following district policy, send documentation to the Director. The Director will review and forward the documentation to either the CG district awards board or a Director established Auxiliary awards board for screening. The appropriate military or auxiliary awards board shall make appropriate recommendations to the District Commander, where such awarding authority is required. Awards requiring the Commandant's approval will be forwarded to the Chief Director (G-PCX) for submission to the Headquarters Auxiliary Board of Awards.
- f. Lesser Award. Should, at any procedural step, the recommendation not be approved, a lesser, more appropriate award may be made. This award could include any special district certificate or a Letter of Appreciation from the District Commander or the Auxiliary DCO.





AVAILABLE DISTRICT AWARDS

The cognizant District Staff Officer (DSO) is responsible for calculation in each category.

a. Individual Awards

Aids to Navigation and Chart Update Program, 1st, 2nd, and 3rd

Air Crew/Observer Hours, 1st only

Aircraft Pilot Hours, 1st, 2nd, and 3rd

Coast Guard Support – Administrative, 1st, 2nd, and 3rd

Coast Guard Support - Operational, 1st, 2nd, and 3rd

Coxswain Hours, 1st, 2nd, and 3rd

Crew Hours, 1st, 2nd, and 3rd

Instructor Hours for Public Education and Member Training, 1st, 2nd, and 3rd

Land Mobile Call Outs/ Call Out Hours 1st only for each Fixed Land

Base/Coast Guard Base

Land Mobile Patrol Hours (Communicators-In-Command), 1st, 2nd, and 3rd

Marine Safety and Environmental Safety, 1st, 2nd, and 3rd

Outstanding Photograph used in the "Northwind", 1st, only

Personal Watercraft (PWC) Hours, 1st, 2nd, and 3rd

Radio Watchstander Hours, 1st, 2nd, and 3rd

Recreational Boating Safety Visitation Program, 1st, 2nd, and 3rd

Training Aids (Flotilla with Largest Number Submitted at the Conference), 1st

Training Aids (five categories) Type I, II, III, IV, V, 1st, for each category Vessel Examiner for VSC's, 1st, 2nd, and 3rd

b. PerpetualTrophies. Each perpetual trophy winner will receive a keeper award.

Air Operations Trophy (Actual In-Air Fight Time)

Chart Updating Trophy

Chief of Staff's Trophy (Commodore Joe West Award for Most Improved

COMO Gail Ramsey Annual Operations Award

Director's Trophy (Outstanding Division)

District Commander's Trophy (Outstanding Flotilla)

District Commodore's Trophy (Outstanding Auxiliarist)

District Historian's Award

Land Mobile Communicator-in-Command Trophy

Member Training Flotilla Trophy

Operations Flotilla Trophy

Overall Operations Trophy (Individual)

Personnel Services Trophy

Public Affairs Flotilla Trophy

Public Education Flotilla Trophy

Publications Division Trophy

Publications Flotilla Trophy

Recreational Boating Safety Visitation Program Individual Trophy

Recreational Boating Safety Visitation Program Outstanding Flotilla Trophy

Steve Mitchell Memorial Award - Outstanding Flotilla in Marine Safety





Training Aid "Best Of Show" Vessel Safety Check Outstanding Flotilla Trophy